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PATENT

IBM/138
Confirmation No. 4269

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cary Lee Bates et al. Art Unit: 3626
Serial No.: 09/553,010 Examiner: Vanel Frenel
Filed: April 20, 2000 Atty. Docket No.: IBM/138
For: LOCATION-BASED VEHICLE RISK ASSESSMENT SYSTEM

COMMUNICATION

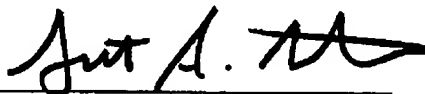
Assistant Commissioner for Patents
Washington, DC 20231

Sir:

This paper is in reply to a telephone conversation between the Examiner and the undersigned on April 1, 2003, wherein the Examiner indicated that the Amendment and Response filed by Applicants on January 8, 2003 via facsimile transmission was incomplete. Accordingly, Applicants are herewith attaching the papers as faxed on January 8, 2003.

If there are any questions regarding this paper, or which might otherwise further this case onto allowance, please contact the undersigned at (513) 241-2324. Moreover, if any other charges or credits are necessary to complete this communication, please apply them to Deposit Account 23-3000.

Respectfully submitted,



Scott A. Stinebruner
Reg. No. 38,323
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1 APRIL 2003

Date

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 PATENT, TRADEMARK, COPYRIGHT
 AND UNFAIR COMPETITION LAW
 AND RELATED LITIGATION

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 TRUMAN A. HERRON 1835-1876
 EDWARD B. EVANS 1838-1871

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January 8, 2003

FACSIMILE COVER SHEET

To: Examiner Vanel Frenel
 Assistant Commissioner for Patents
 Washington, D.C. 20231

From: Scott A. Stinebruner
 Reg. No. 38,323

Fax: 703-305-7687

Re: U.S. Patent Application
 Serial No. 09/553,010
 Filed: April 20, 2000
 Applicant: Cary Lee Bates et al.
 Art Unit: 3626
 Confirmation No.: 4269
 Our Ref: IBM/138

Enclosures:

Fax Cover Sheet containing Certificate
 of Facsimile Transmission
 Transmittal (in duplicate) containing
 Certificate of Facsimile
 Transmission
 Amendment and Response

Pages: 14 (including cover sheet)

**MESSAGE/COMMENTS
OFFICIAL****CERTIFICATE OF FACSIMILE TRANSMISSION**

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Judith L. Volk
 Judith L. Volk

January 8, 2003
 Date

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PATENT

Att'y Docket No. IBM/138/124
Confirmation No. 4269

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Cary Lee Bates et al. Art Unit: 3626
Serial No.: 09/553,010 Examiner: Vanel Frenel
Filed : April 20, 2000
For : LOCATION-BASED VEHICLE RISK ASSESSMENT SYSTEM

Box NON-FEE AMENDMENT
Assistant Commissioner for Patents
Washington, DC 20231

AMENDMENT TRANSMITTAL

1. ☒ Transmitted herewith is an Amendment and Response.
2. ☐ Small Entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
☐ Enclosed is a verified statement to establish Small Entity status
☒ Other than a Small Entity
3. The fee has been calculated as shown below:

CALCULATION OF FEES

Fee:	Number of Claims After Amendment:		Previously Paid For:	No. Extra:	At Rate:	Amount:
Total Claims	22	minus	30	0	\$18	\$0.00
Independent Claims	4	minus	5	0	\$84	\$0.00
MULTIPLE DEPENDENT CLAIM FEE					\$280	\$0.00
TOTAL FEE FOR CLAIMS:						\$0.00

- ☒ No additional fee for claims is required.

4. ☐ Attached is a check in the sum of \$_____ for additional claims.
☐ Please charge my Deposit Account No. 23-3000 in the amount of \$_____.
5. **The proceedings herein are for a patent application and the provisions of 37 CFR 1.136 apply. Complete (a) or (b) as applicable.**

- ☐ (a) Applicant petitions for an extension of time under 37 CFR 1.136 for the total number of months checked below:

	<u>Ext. Mos.</u>	<u>Large entity</u>	<u>Small entity</u>
<input type="checkbox"/>	one month	\$ 110.00	\$ 55.00
<input type="checkbox"/>	two months	\$ 410.00	\$ 205.00
<input type="checkbox"/>	three months	\$ 930.00	\$ 465.00
<input type="checkbox"/>	four months	\$1,450.00	\$ 725.00
<input type="checkbox"/>	five months	\$1,970.00	\$ 985.00

Extension fee due with this request:

\$ _____

Method of Payment:

Check enclosed in the amount of \$ _____

If an additional extension of time is required, please consider this a petition therefor.

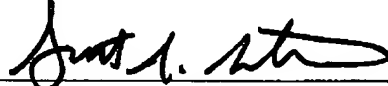
(Check and complete the next item, if applicable)

- ☐ An extension for _____ months has already been secured and the fee paid thereof of \$_____ is deducted from the total fee due for the total months of extension now requested. Extension fee due with this request \$_____.
- OR**
- ☒ (b) **Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that applicant has inadvertently overlooked the need for a petition for extension of time.**
6. ☒ **If any additional fee for claims or extension of time is required, charge Account No. 23-3000.**

Respectfully submitted,

WOOD, HERRON & EVANS, L.L.P.

By:


 Scott A. Stinebruner
 Reg. No. 38,323

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 441 Vine Street
 Cincinnati, Ohio 45202-2917
 (513) 241-2324

Enclosed:

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 Transmittal containing Certificate of Facsimile Transmission
 Amendment and Response

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TECHNICAL ADVISORS
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 G. PRABHAKAR REDDY, M.S.CH.

April 1, 2003

FACSIMILE COVER SHEET

To: Examiner Vanel Frenel
 Assistant Commissioner for Patents
 Washington, D.C. 20231

From: Scott A. Stinebruner
 Reg. No. 38,323

Fax: 703-746-6065

Re: U.S. Patent Application
 Serial No. 09/553,010
 Filed: April 20, 2000
 Applicant: Cary Lee Bates et al.
 Art Unit: 3626
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Pages: 17 (including cover sheet)

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 2003 (14 pages, including Fax
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 Amendment and Response)

MESSAGE/COMMENTS
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I hereby certify that this correspondence and the enclosures noted herein (17 total pages) are being transmitted via facsimile transmission to Examiner Vanel Frenel, Assistant Commissioner for Patents, Washington, D.C. 20231 at 703-746-6065 on April 1, 2003.

Judith L. Volk
 Judith L. Volk

April 1, 2003
 Date

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TRANSMITTAL

Assistant Commissioner for Patents
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Please charge any additional fees or credit overpayment to Deposit Account No. 23-3000.
A duplicate of this sheet is enclosed.

WOOD, HERRON & EVANS, L.L.P.
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By: 
Scott A. Stinebruner Reg. No. 38,323

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Judith L. Volk

Page 1 of 1
Serial No. 09/553,010
IBM Docket R0999233
WH&E IBM/138
Transmittal for Communication
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